

Electronic Acknowledgement Receipt

| | |
|---|--|
| EFS ID: | 9622952 |
| Application Number: | 10568841 |
| International Application Number: | |
| Confirmation Number: | 4681 |
| Title of Invention: | 3H-PHENOXAZINE DERIVATIVES SUITABLE AS NEAR-INFRARED IMAGING AGENTS, PREPARATION AND USE THEREOF |
| First Named Inventor/Applicant Name: | Yves Auberson |
| Customer Number: | 12919 |
| Filer: | Paul D. Strain/Conny Verret |
| Filer Authorized By: | Paul D. Strain |
| Attorney Docket Number: | 093286-0122 |
| Receipt Date: | 14-MAR-2011 |
| Filing Date: | 13-SEP-2006 |
| Time Stamp: | 09:44:48 |
| Application Type: | U.S. National Stage under 35 USC 371 |

Payment information:

| | |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/Message Digest | Multi Part/.zip | Pages (if appl.) |
|-----------------|---|---------------------------------------|--|-----------------|------------------|
| 1 | Assignee showing of ownership per 37 CFR 3.73(b). | 093286-0122-373b_Statement-SIGNED.pdf | 89745 f1c1b2a93946fbfd11a95647ed95e1bcb1 e409c | no | 1 |

Warnings:

| |
|--------------|
| Information: |
|--------------|

| | | | | | |
|--|-------------------|---|--|--------|---|
| 2 | Power of Attorney | Novartis-General_POA-Strain_Strain_PLCC.pdf | 313918 7b5d4e5db1f00150c061f13da3ff1a84-4fc | no | 1 |
| Warnings: | | | | | |
| The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | | 403663 | |
| <p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p>New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p>National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p>New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p> | | | | | |